



SEP 18 2007 TUE 12:03 PM INTELLECTUAL PROPERTY

FAX NO. 9254232231

P. 02

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7590

08/27/2007

Eddie E. Scott
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Livermore, CA 94551

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Laureen Stephens (Depositor's name)
[Signature] (Signature)
September 18, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/713,622	14/3/2003	Duncan J. Maitland	IL-10492B	2445

TITLE OF INVENTION: SHAPE MEMORY POLYMER ACTUATOR AND CATHETER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	11/27/2007
EXAMINER		ART UNIT	CLASS-SUBCLASS	09/19/2007 NNGUYEN2 00000074 120695 10713622		
TRUONG, KEVIN THAO		3734	606-194000	01 FC:2501 02 FC:150A 03 FC:1501	700.00 DA 300.00 DA 30.00 DA	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list:

(1) the names of up to 3 registered patent attorneys or agents; OR, alternatively,

(2) the name of a single firm (having us a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Eddie E. Scott

2. John H. Lee

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California

Oakland, CA (USA)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 10 (ten) \$30

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☐ A check is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 12-0695 (enclose an extra copy of this form).

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(e)(2).

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Authorized Signature

[Signature]

Date September 18, 2007

Typed or printed name

Eddie E. Scott

Registration No. #25,220

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FACSIMILE TRANSMISSION COVER PAGE



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TO: ISSUE FEE
Commissioner for Patents
Fax No. (571) 273-2885

FROM: Laureen Stephens
LLNL
Fax No. (925) 424-5590

Total pages 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Duncan J. Maitland
Entitled: Shape Memory Polymer Actuator and Catheter

Application No.: 10/713,622
Filed: November 13, 2003 Examiner: Kevin Thao Truong
Art Unit: 3734

Attorney Docket No. IL-10492B

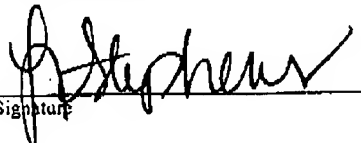
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I hereby certify that the following papers are being facsimile transmitted to the U.S. Patent and Trademark Office on September 18, 2007.

Attached are the following:

2 copies of Issue Fee Transmittal (PTOL-85)

Laureen Stephens


Signature

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